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SHEET 1

**INFORMATION DISCLOSURE  
CITATION**

PTO-1449

ATTORNEY'S DKT NO.  
002010-593APPLICATION NO.  
UnassignedAPPLICANT  
Jing Wu, et al.FILING DATE  
Filed HerewithGROUP  
Unassigned10828 U.S. PTO  
09/915263  
07/27/01**U.S. PATENT DOCUMENTS**

| EXAMINER'S INITIALS | PATENT NO. | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE |
|---------------------|------------|----------|--------------------|-------|----------|-------------|
| B.K.                | 3,657,341  | 4/18/72  | Thorne, et al.     | 260   | 558      |             |
| B.K.                | 4,080,449  | 3/21/78  | Croissier, et al.  | 424   | 244      |             |
| B.K.                | 4,477,464  | 10/16/84 | Slade, et al.      | 424   | 275      |             |
| B.K.                | 4,666,829  | 5/19/87  | Glenner, et al.    | 435   | 6        |             |
| B.K.                | 4,977,168  | 12/11/90 | Bernat, et al.     | 514   | 330      |             |
| B.K.                | 5,238,932  | 8/24/93  | Flynn, et al.      | 514   | 214      |             |
| B.K.                | 5,283,241  | 2/1/94   | Bochis, et al.     | 514   | 183      |             |
| B.K.                | 5,284,841  | 2/8/94   | Chu, et al.        | 514   | 183      |             |
| B.K.                | 5,324,726  | 6/28/94  | Bock, et al.       | 514   | 221      |             |
| B.K.                | 5,360,802  | 11/1/94  | Chambers, et al.   | 514   | 221      |             |
| B.K.                | 5,420,271  | 5/30/95  | Warchawsky, et al. | 540   | 521      |             |
| B.K.                | 5,556,969  | 9/17/96  | Chambers, et al.   | 540   | 509      |             |
| B.K.                | 5,633,251  | 5/27/97  | Claremon, et al.   | 514   | 221      |             |
| B.K.                | 5,658,901  | 8/19/97  | Claremon, et al.   | 514   | 221      |             |
| B.K.                | 5,712,397  | 1/27/98  | Esser, et al.      | 546   | 90       |             |
| B.K.                | 5,770,573  | 6/23/98  | Arrhenius, et al.  | 514   | 18       |             |
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**FOREIGN PATENT DOCUMENTS**

| EXAMINER'S INITIALS | PATENT NO. | DATE    | COUNTRY | CLASS | SUBCLASS | Translation |    |
|---------------------|------------|---------|---------|-------|----------|-------------|----|
|                     |            |         |         |       |          | Yes         | No |
| B.K.                | 1 063 108  | 9/25/79 | Canada  |       |          |             |    |
| B.K.                | 0 167 919  | 1/15/86 | Europe  |       |          |             |    |
| B.K.                | 0 284 256  | 9/28/88 | Europe  |       |          |             |    |
| B.K.                | 0 349 949  | 1/10/90 | Europe  |       |          |             |    |

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| INFORMATION DISCLOSURE<br>CITATION<br>PTO-1449 |           |          |               | ATTORNEY'S DKT NO.<br>002010-593      | APPLICATION NO.<br>Unassigned 09/985,263 |
|                                                |           |          |               | APPLICANT<br>Jing Wu, et al.          |                                          |
|                                                |           |          |               | FILING DATE<br>Filed Herewith 7/27/01 | GROUP<br>Unassigned 1624                 |
| B.K.                                           | 0 376 849 | 7/4/90   | Europe        | —                                     | —                                        |
|                                                | 0 434 360 | 6/26/91  | Europe        | —                                     | —                                        |
|                                                | 0 434 364 | 6/26/91  | Europe        | —                                     | —                                        |
|                                                | 0 434 369 | 6/26/91  | Europe        | —                                     | —                                        |
|                                                | 0 490 590 | 6/17/92  | Europe        | —                                     | —                                        |
|                                                | 0 514 133 | 11/19/92 | Europe        | —                                     | —                                        |
|                                                | 0 523 845 | 1/20/93  | Europe        | —                                     | —                                        |
|                                                | 0 549 039 | 6/30/93  | Europe        | —                                     | —                                        |
|                                                | 0 647 632 | 4/12/95  | Europe        | —                                     | —                                        |
|                                                | 0 652 009 | 6/10/95  | Europe        | —                                     | —                                        |
|                                                | 0 667 344 | 8/16/95  | Europe        | —                                     | —                                        |
|                                                | 0 677 517 | 10/18/95 | Europe        | —                                     | —                                        |
|                                                | 0 732 399 | 9/18/96  | Europe        | —                                     | —                                        |
|                                                | 0 778 266 | 11/6/97  | Europe        | —                                     | —                                        |
|                                                | 1 519 931 | 7/6/78   | Great Britain | —                                     | —                                        |
|                                                | 1 573 931 | 8/18/80  | Great Britain | —                                     | —                                        |
|                                                | 2 272 439 | 5/18/94  | Great Britain | —                                     | —                                        |
|                                                | 2 290 788 | 1/10/96  | Great Britain | —                                     | —                                        |
|                                                | 04210967  | 8/3/94   | Japan         | —                                     | —                                        |
|                                                | 06145148  | 5/24/94  | Japan         | —                                     | —                                        |
|                                                | 07304770  | 11/21/95 | Japan         | —                                     | —                                        |
|                                                | 10072444  | 3/17/98  | Japan         | —                                     | —                                        |
|                                                | 92/01683  | 2/6/92   | WIPO          | —                                     | —                                        |
|                                                | 92/16524  | 10/1/92  | WIPO          | —                                     | —                                        |
|                                                | 93/19052  | 9/30/93  | WIPO          | —                                     | —                                        |
|                                                | 93/19063  | 9/30/93  | WIPO          | —                                     | —                                        |
|                                                | 94/05693  | 3/17/94  | WIPO          | —                                     | —                                        |
|                                                | 94/04531  | 3/3/94   | WIPO          | —                                     | —                                        |
|                                                | 94/07486  | 4/14/94  | WIPO          | —                                     | —                                        |
| B.K.                                           | 94/10569  | 5/11/94  | WIPO          | —                                     | —                                        |

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| INFORMATION DISCLOSURE<br>CITATION<br>PTO-1449                         |                                                                                                                                                                                                        |          |      | ATTORNEY'S DKT NO.<br>002010-593     | APPLICATION NO.<br>Unassigned 09/15/263 |
|                                                                        |                                                                                                                                                                                                        |          |      | APPLICANT<br>Jing Wu, et al.         |                                         |
|                                                                        |                                                                                                                                                                                                        |          |      | FILING DATE<br>Filed Herewith 7/27/9 | GROUP<br>Unassigned 164                 |
| B.K.                                                                   | 95/03289                                                                                                                                                                                               | 2/2/95   | WIPO |                                      |                                         |
|                                                                        | 95/03290                                                                                                                                                                                               | 2/2/90   | WIPO |                                      |                                         |
|                                                                        | 95/09838                                                                                                                                                                                               | 4/13/95  | WIPO |                                      |                                         |
|                                                                        | 95/14671                                                                                                                                                                                               | 6/1/95   | WIPO |                                      |                                         |
|                                                                        | 95/21840                                                                                                                                                                                               | 8/17/95  | WIPO |                                      |                                         |
|                                                                        | 95/23810                                                                                                                                                                                               | 9/8/95   | WIPO |                                      |                                         |
|                                                                        | 95/25118                                                                                                                                                                                               | 9/21/95  | WIPO |                                      |                                         |
|                                                                        | 95/32191                                                                                                                                                                                               | 11/30/95 | WIPO |                                      |                                         |
|                                                                        | 96/05839                                                                                                                                                                                               | 2/29/96  | WIPO |                                      |                                         |
|                                                                        | 96/16981                                                                                                                                                                                               | 6/6/96   | WIPO |                                      |                                         |
|                                                                        | 96/19492                                                                                                                                                                                               | 6/27/96  | WIPO |                                      |                                         |
|                                                                        | 96/20725                                                                                                                                                                                               | 7/11/96  | WIPO |                                      |                                         |
|                                                                        | 96/22966                                                                                                                                                                                               | 8/1/96   | WIPO |                                      |                                         |
|                                                                        | 96/40146                                                                                                                                                                                               | 12/19/96 | WIPO |                                      |                                         |
|                                                                        | 96/40653                                                                                                                                                                                               | 12/19/96 | WIPO |                                      |                                         |
|                                                                        | 96/40654                                                                                                                                                                                               | 12/19/96 | WIPO |                                      |                                         |
|                                                                        | 96/40655                                                                                                                                                                                               | 12/19/96 | WIPO |                                      |                                         |
|                                                                        | 96/40656                                                                                                                                                                                               | 12/19/96 | WIPO |                                      |                                         |
|                                                                        | 97/30072                                                                                                                                                                                               | 8/21/97  | WIPO |                                      |                                         |
|                                                                        | 97/38705                                                                                                                                                                                               | 10/23/97 | WIPO |                                      |                                         |
|                                                                        | 98/00405                                                                                                                                                                                               | 1/8/98   | WIPO |                                      |                                         |
|                                                                        | 98/25930                                                                                                                                                                                               | 6/18/98  | WIPO |                                      |                                         |
| ↓                                                                      | 98/28268                                                                                                                                                                                               | 7/2/98   | WIPO |                                      |                                         |
| B.K.                                                                   | 98/38177                                                                                                                                                                                               | 9/3/98   | WIPO |                                      |                                         |
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|                                                                        |                                                                                                                                                                                                        |          |      |                                      |                                         |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |                                                                                                                                                                                                        |          |      |                                      |                                         |
| B.K.                                                                   | Aquino, et al. "Discovery of 1,5-Benzodiazepines with Peripheral Cholecystokinin (CCK-A) Receptor Agonist Activity. 1. Optimization of the Agonist "Trigger." <i>J. Med. Chem.</i> 39: 562-569 (1996). |          |      |                                      |                                         |

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| INFORMATION DISCLOSURE<br>CITATION<br><br>PTO-1449                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  | ATTORNEY'S DKT NO.<br>002010-593      | APPLICATION NO.<br>Unassigned<br>09/915 263 |
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| <p><i>B.K.</i></p> <p>Arienti, et al. "Regioselective Electrophilic Alkylation of Anilines with Phenylacetylene in the Presence of Montmorillonite KSF." <i>Tetrahedron</i> 53(10): 3795-3804 (1997).</p> <p>Bock, et al. "Synthesis and Resolution of 3-Amino-1,3-dihydro-5-phenyl-2H-1,4-benzodiazepin-2-ones." <i>J. Org. Chem.</i> 52: 3232-3239 (1987).</p> <p>Bock, et al. "An Expedient Synthesis of 3-Amino-1,3-Dihydro-5-Phenyl-2H-1,4-Benzodiazepin-2-one." <i>Tet. Lett.</i> 28(9): 939-942 (1987).</p> <p>Bock, et al. "Selective Non-Peptide Ligands for an Accommodating Peptide Receptor. Imidazobenzodiazepines as Potent Cholecystokinin Type B Receptor Antagonists." <i>Bioorg. and Med. Chem. Letts.</i> 2(9):987-998 (1994).</p> <p>Brown, et al. "A Revision of the structure of "7-Phenylloxindole"; Photochemical Synthesis and Pyrolytic Behaviour of Authentic 7-Phenylloxindole." <i>Tet. Lett.</i> 8: 667-670 (1971).</p> <p>Chambers, et al. L-708,474: the C5-Cyclohexyl Analogue of L-365,260, A Selective High Affinity Ligand for the CCKB/Gastrin Receptor." <i>Bioorg. and Med. Chem. Letts.</i> 3(10):1919-1924 (1993).</p> <p>Cordell. "B-Amyloid Formation as a Potential Therapeutic Target for Alzheimer's Disease." <i>Ann. Rev. Pharmacol. Toxicol.</i> 34:69-89 (1994).</p> <p>Evans, et al. "Methods for Drug Discovery: Development of Potent, Selective Orally Effective Cholecystokinin Antagonists." <i>J. Med. Chem.</i> 31:2235-2246 (1988).</p> <p>Evans, et al. "Molecular Mimicry and the Design of Peptidomimetics." <i>Molecular Mimicry in Health and Disease.</i> (A. Lernmark, et al., eds.) Elsevier Science Publishers B.v. (Biomedical Division) (1988) pp. 23-34.</p> <p>Finizia, et al. "Synthesis and Evaluation of Novel 1,5-Benzodiazepines as potent and selective CCK-B Ligands, Effect of the Substitution of the N-5 Phenyl with Alkyl Groups." <i>Bioorg. &amp; Medicinal Chemistry Letters.</i> 6(24):2957-2962 (1996).</p> <p>Hirst, et al. "Discovery of 1,5-Benzodiazepines with Peripheral Cholecystokinin (CCK-A) Receptor Agonists Activity (II): Optimization of the C3 Amino Substituent." <i>J. Med. Chem.</i> 39: 5236-5245 (1996).</p> <p>Hofmann, et al. "Interactions of Benzodiazepine Derivatives with Annexins." <i>J. Biol. Chem.</i> 273(5):2885-2894 (1998).</p> <p>Katritzky, et al. "Benzodiazepine Derivatives with Annexins." <i>J. Biol. Chem.</i> 273(5): 2885-2894 (1998).</p> <p>Lowe, et al. "A Water Soluble Benzazepine Cholecystokinin-B-Receptor Antagonist." <i>Bioorg. and Med. Chem. Letts.</i> 5(17): 1933-1936 (1995).</p> <p>Lowe, et al. "5-Phenyl-3-ureidobenzazepin-2-ones as Cholecystokinin-B Receptor Antagonists." <i>J. Med. Chem.</i> 37: 3789-3811 (1994).</p> <p>Milligan, et al. "Intramolecular Schmidt Reactions of Alkyl Azides with Ketones: Scope and Stereochemical Studies." <i>J. Am. Chem. Soc.</i> 117: 10449-10459 (1995).</p> <p>Papadopoulos, et al. Anodic Oxidation of N-Acyl and N-Alkoxy carbonyl Dipeptide Esters as a Key Step for the Formation of Chiral Heterocyclic Synthetic Building Blocks." <i>Tetrahedron</i> 47(4/5):563-572 (1991).</p> <p>Patel, et al. "Biological Properties of the Benzodiazepine Amidine Derivative L-740,093, a Cholecystokinin-B/Gastrin Receptor Antagonist with High Affinity in vitro and High Potency in vivo." <i>Molecular Pharmacology.</i> 46:943-948 (1994).</p> |  |                                       |                                             |

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| <b>INFORMATION DISCLOSURE<br/>CITATION</b><br><br><b>PTO-1449</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | ATTORNEY'S DKT NO.<br>002010-593      | APPLICATION NO.<br>Unassigned 09/405-263 |
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| <p><i>B.K.</i> Reiter, et al. "Crystallization-Induced Asymmetric Transformation: Stereospecific Synthesis of a Potent Peripheral CCK Antagonist." <i>J. Org. Chem.</i> 52: 955-957 (1987).</p> <p>Rittle, et al. "A New Amine Resolution Method and its Application to 3-Aminobenzodiazepines." <i>Tet. Lett.</i> 28(5):521-522 (1987).</p> <p>Robl. "Synthesis of 2-(4-Fluorophenyl)-4-isopropyl-3-quinolinecarbaldehyde: A New Route to 2,3,4-Substituted Quinolines." <i>Synthesis.</i> 56-58 (1991).</p> <p>Satoh, et al. "New 1,4-Benzodiazepine-2-one Derivatives as Gastrin/ Cholecystokinin-B Antagonists." <i>Chem. Pharm. Bull.</i> 43(12): 2159-2167 (1995).</p> <p>Satyanarayana, et al. "Carbonylation of Benzyl Halides Using CoCl<sub>2</sub>/NaBH<sub>4</sub>/CO/NaOH Reagent System." <i>Tet. Lett.</i> 28(23): 2633-2636 (1987).</p> <p>Semple, et al. "Design, Synthesis, and Evolution of a Novel, Selective, and Orally Bioavailable Class of Thrombin Inhibitors: P1-Argininal Derivatives Incorporating P3-P4 Lactam Sulfoamide Moieties." <i>J. Med. Chem.</i> 39: 4531-4536 (1996).</p> <p>Sherrill, et al. "An Improved Synthesis and Resolution of 3-Amino-1,3 dihydro-5-phenyl-2H-1,4-benzodiazepin-2-ones." <i>J. Org. Chem.</i> 60:730-734 (1995).</p> <p>Showell, et al. "High Affinity and Potent, Water-Soluble 5-Amino-1,4-Benzodiazepine CCKB/Gastrin Receptor Antagonists Containing a Cationic Solubilizing Group." <i>J. Med. Chem.</i> 37:719-721 (1994).</p> <p>Smith, et al. "β-APP Processing as a Therapeutic Target for Alzheimer's Disease." <i>Current Pharmaceutical Design.</i> 3:439-445 (1997).</p> <p>Stewart. "Syntheses of L-Kynurenine Peptides Conducted Without Masking the Side-Chain Amino Group." <i>Aust. J. Chem.</i> 33: 633-640 (1980).</p> <p>Van Niel, et al. "CCKB Selective Receptor Ligands: Novel 1,3,5-Trisubstituted Benzazepin-2-ones." <i>Bioorganic &amp; Medicinal Chemistry Letters.</i> 5(13):1421-1426 (1995).</p> <p>Varnavas, et al. "Synthesis of New Benzodiazepine Derivatives as Potential Cholecystokinin Antagonists." <i>Il Farmaco.</i> 46(2):391-401 (1991).</p> <p>Waldmann, et al. "Selective Enzymatic Removal of Protecting Groups: The Phenylacetamide as Amino Protecting Group in Phosphopeptide Synthesis." <i>Tet. Lett.</i> 37(48): 8725-8728 (1996).</p> <p>Warshawsky, et al. "The Synthesis of Aminobenzazepinones as Anti-Phenylalanine Dipeptide Mimics and Their Use in NEP Inhibition." <i>Bioorg. &amp; Med. Chem. Lets.</i> 6(8): 957-962 (1996).</p> <p><i>↓</i> Zoller, et al. "Aminoalkylation of Cercaptans with Glyoxylic Acid Derivatives." <i>Tetrahedron.</i> 31: 863-866 (1973).</p> <p></p> <p></p> <p></p> <p></p> |                           |                                       |                                          |
| EXAMINER<br><i>Bruna K. Miller</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | DATE CONSIDERED<br>6/6/02 |                                       |                                          |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.